

Form PTO-1449 (modified 2/91) INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	U.S. DEPT. OF COMMERCE Patent and Trademark Office	Attorney Docket Number: 2102397-992313	Serial Number: 10/757,830
	Applicants: Bing Yeh et al.		
	Filing date: January 13, 2004	Group art unit: 2818	

U.S. PATENT DOCUMENTS

Examiner Initial	Patent No. Publication No.	Date	Name	Class	Sub-class	Filing date if appropriate
Alh	6,222,227 B1	04/01	Chen			
	6,262,917	07/01	Lee			
	6,525,371	02/03	J. Johnson			
	6,538,275	03/03	Sugiyama et al.			
	6,541,815	04/03	Mandelman et al.			
Alh	6,756,633	06/04	Wang et al.			

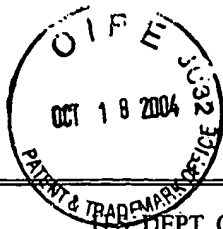
FOREIGN PATENT DOCUMENTS

	Document number	Date	Country	Class	Sub-class	Translation YES	NO
Alh	EP 0 389 721 A2	10/03/90	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Alh	HAYASHI, Fumihiko and PLUMMER, James D., "A Self-Aligned Split-Gate Flash EEPROM Cell With 3-D Pillar Structure", 1999 Symposium on VLSI Technology Digest of Technical Papers, Center for Integrated System, Stanford University, Stanford, CA 94305, USA, pages 87-88
Alh	SZE, Simon, "Physics of Semiconductor Devices", 2nd Edition, Wiley-Interscience, Basic Device Characteristics pages. 438-439
Alh	BROWN, William D. et al.; "Nonvolatile Semiconductor Memory Technology, A Comprehensive Guide to Understanding And Using NVSM Devices", IEEE Press, pp. 33-34

Examiner: <i>Andy Hung</i>	Date Considered: <i>01/07/05</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	



Form PTO-1449
(modified 2/91)

U.S. DEPT. OF COMMERCE
Patent and Trademark Office

Attorney Docket Number:
2102397-992313

Serial Number:
10/757,830

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Applicants: Bing Yeh et al.

Filing date: January 13, 2004

Group art unit: 2818

U.S. PATENT DOCUMENTS

Examiner Initial	Patent No. Publication No.	Date	Name	Class	Sub-class	Filing date if appropriate
al	10/358,601	02/03	Kianian			
	10/358,623	02/03	Hu et al.			
	10/653,015	08/03	Chen et al.			
	4,757,360	07/88	Farone			
	4,794,565	12/88	Wu et al.			
	4,882,707	11/89	Mizutani			
	4,905,062	02/90	Esquivel et al			
	4,931,847	06/90	Corda			
	4,947,221	08/90	Stewart et al.			
	5,021,848	06/91	Chiu			
	5,029,130	07/91	Yeh			
	5,041,886	08/91	Lee			
	5,101,250	03/92	Arima et al.			
	5,268,319	12/93	Harari			
	5,338,953	08/94	Wake			
	5,429,965	06/95	Shimoji			
	5,544,103	08/96	Lambertson			
	5,572,054	11/96	Wang et al.			
	5,780,341	07/98	Ogura			
	5,780,892	07/98	Chen			
	5,789,293	08/98	Cho et al.			
	5,796,139	08/98	Fukase			
	5,808,328	09/98	Nishizawa			
	5,811,853	09/98	Wang			
	5,814,853	09/98	Chen			
	5,943,572	08/99	Krautschneider			
	6,091,104	07/00	Chen			
	6,103,573	08/00	Harari et al.			
	6,140,182	10/00	Chen			
al	6,180,458	01/01	Krautschneider, et al.			

Examiner:

Andy Nguyen

Date Considered:

01/07/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

NON PATENT LITERATURE DOCUMENTS

**Examiner
Signature**

Date
Considered

01/07/05

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

American LegalNet, Inc.
www.USCourtForms.com

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 2

Complete if Known

Application Number	Not yet assigned 10/757,830
Filing Date	January 13, 2004
First Named Inventor	Bing Yeh
Art Unit	Not yet assigned
Examiner Name	Not assigned yet
Attorney Docket Number	2102397-992313

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
Oh		US- 5,049,515	09/17/1991	Tzeng	
		US- 5,381,028	01/10/1995	Iwasa	
		US- 5,495,441	02/27/1996	Hong	
		US- 6,316,298	11/13/2001	Lee	
		US- 6,316,315	11/13/2001	Hofmann et al.	
		US- 6,521,944	02/18/2003	Mirgorodski	
Oh		US- 2003/0073275	04/17/2003	Kianian et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner
Signature

Andy Nguyen

Date
Considered

01/07/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

American LegalNet, Inc.
www.USCourtForms.com